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APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	CONFIRMATION NO
10 009,656	03 26 2002	KarlHeinz Arndt	P01,0404	8441
26574	7590	10 28 2003		
SCHIFF HARDIN & WAITE 6600 SEARS TOWER 233 S WACKER DR. CHICAGO, IL 60606-6473			EXAMINER PAYNE, SHARON E	
			ART UNIT 2875	PAPER NUMBER

DATE MAILED: 10-28/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	10/009,656	ARNDT ET AL	
	Examiner	Art Unit	
	Sharon E. Payne	2875	

.. The MAILING DATE of this communication appears on the cover sheet with the correspondence address ..

### *Office Action Summary*

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.

If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.

If NO period for reply is specified above, the minimum statutory period will apply and will EXPIRE SIX (6) MONTHS from the mailing date of this communication.

If the reply is filed after the period specified for reply but within three months after the mailing date of this communication, the application will be ABANDONED (35 U.S.C. § 133).

Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(d).

### Status

1)  Responsive to communication(s) filed on 30 July 2003.

2a)  This action is **FINAL**.      2b)  This action is non-final.

3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## **Disposition of Claims**

4)  Claim(s) 18-37 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5)  Claim(s) \_\_\_\_\_ is/are allowed.

6)  Claim(s) 18-20 and 35 is/are rejected.

7)  Claim(s) 21-34,36 and 37 is/are objected to.

8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### 3) Claim(s)

9)  The specification is objected to by the Examiner.

10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

11)  The proposed drawing correction filed on 30 July 2003 is: a)  approved b)  disapproved by the Examiner  
If approved, corrected drawings are required in reply to this Office action.

12)  The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

13)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a)  All b)  Some \* c)  None of:

1.  Certified copies of the priority documents have been received.

2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_.

3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

14)  Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).

a)  The translation of the foreign language provisional application has been received.

15)  Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

1)  Notice of References Cited (PTO-892)  
2)  Notice of Draftsman's Patent Drawing Review (PTO-948)  
3)  Information Disclosure Statement(s) (PTO-1449) Paper No(s)  
4)  Interview Summary (PTO-413) Paper No(s) \_\_\_\_\_  
5)  Notice of Informal Patent Application (PTO-152)  
6)  Other \_\_\_\_\_

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
2. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
3. Claims 18 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hochstein (U.S. Patent 6,045,240) in view of Roney et al. (U.S. Patent 5,528,474).

Regarding claim 18, Hochstein discloses a printed circuit board having a principal surface and a secondary surface (abstract), a plurality of LEDs arranged on the principal surface (reference number 28, Fig. 3), a metallic layer provided on the secondary surface electrically insulated from the LEDs (column 5, lines 32-54) and a cooling member connected to the secondary surface (Fig. 3, on the right), wherein the printed circuit board is secured to the cooling member with a thermally conductive adhesive (abstract). Hochstein does not specifically disclose a plastic circuit board.

Roney et al. discloses a printed circuit board comprising a plastic material (column 3, lines 20-25).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the plastic circuit board of Roney et al. in the apparatus of Hochstein to provide a circuit board made of insulating material to prevent a short circuit in the apparatus.

Concerning claim 19, Hochstein discloses the metallic layer comprising copper (column 8, lines 11-29).

4. Claims 20 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hochstein in view of Roney et al. as applied to claim 19 and further in view of Zouzoulas et al. (U.S. Patent 5,059,778).

Concerning claim 20, Hochstein does not disclose a flexible printed circuit board structure. Zouzoulas et al. discloses a flexible printed circuit board structure (column 6, lines 38-41).

Regarding claim 35, Hochstein does not disclose a flex board. Zouzoulas et al. discloses a flex board (column 6, lines 38-41).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the flex board of Zouzoulas et al. in the apparatus of Hochstein to provide a flexible electrical connection to an LED.

#### ***Allowable Subject Matter***

5. Claims 21-34 and 36-37 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

6. The following is a statement of reasons for the indication of allowable subject matter. The prior art fails to disclose an LED arrangement having the following features:

- 1) a secondary surface of a circuit board applied to a curved or angled surface of a cooling member, a thermally conductive partial region of a device housing or an automobile chassis such that the plurality of LEDs are arranged in a spatial form determined by the surface of the cooling member as recited in claim 21, or
- 2) a cooling member that has a curvature adapted to either the outside contour of a motor vehicle or to a partial surface region of an automobile chassis as recited in claim 32.

***Response to Arguments***

7. Applicant's arguments with respect to claims 18-20 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sharon E. Payne whose telephone number is (703) 308-2125. The examiner can normally be reached on regular business hours.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea can be reached on (703) 305-4939. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

sep  
October 21, 2003

A handwritten signature in black ink, appearing to read "Sharon E. Payne".